

## **ADVISORY DOCUMENT for LITHIUM and SODIUM ION batteries**

Reference: IATA Dangerous Goods Regulations 66th Edition 2025



AIRSAFE Transport Training www.airsafe.com.au Packing Instruction 965 - Lithium Ion Batteries UN3480 (Page 2) Packing instruction 976 - Sodium ion batteries UN3551 (Page 3) Packing Instruction 966 - Lithium Ion Batteries packed with equipment UN3481 (Page 4) Packing instruction 978 - Sodium ion batteries packed with equipment UN3552 (Page 4) Packing Instruction 967 - Lithium Ion Batteries contained in equipment UN3481 (Page 5) Packing instruction 977 - Sodium ion batteries contained in equipment UN3552 (Page 5) Packing Instruction 968 - Lithium Metal Batteries UN3090 (Page 6) Packing Instruction 969 - Lithium Metal Batteries packed with equipment UN3091 (Page 7) Packing Instruction 970 - Lithium Metal Batteries contained in equipment UN3091 (Page 8) Declaration completion example and miscellaneous information (Page 9) Lithium batteries installed in cargo transport unit (UN3536) - **FORBIDDEN** 

Refer to Appendix A of the IATA DGR for definitions of Lithium Ion & Metal Batteries



**Note:** Manufacturers and distributors of cells or batteries manufactured after 30 June 2003 must make available the test summary as specified in the UN Manual of Tests and Criteria, Part III, sub-section 38.3, paragraph 38.3.5. Reference documents obtained from distributors should show watt hour ratings or lithium content in grams.

Please remember: Commercial shippers of lithium or sodium ion batteries are required to be trained under the IATA and Australian Civil Aviation Safety Authority (CASA) legislation.

Disclaimer: The intention of this document is not replace the IATA or CASA regulations, merely to aid with the interpretation of the applicable Lithium battery requirements. No user should act on such information without reference to applicable Regulations.

Every effort has been made to present accurate, current information but **AIRSAFE** shall not be held responsible for loss, damage or legal action due to errors or omissions, misprints or misinterpretation of the contents.

Lithium Ion Batteries (Rechargeable) UN3480 - PI 965	Section IA FULLY DECLARED DG FORBIDDEN on Passenger Aircraft	Section IB FULLY DECLARED DG FORBIDDEN on Passenger Aircraft
	<b>°</b>	ricted to no more than 30% state of charge (SoC).
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Applicable to:	Batteries over 100 Watt hour (W/h), Cells over 20 W/h	Batteries no more than 100 W/h,
Note: Includes battery packs, modules and rechargers having the purpose of	A CONTRACT OF CONTRACT.	Cells no more than 20 W/h.
providing a source of power to other equipment.	Cell (Single unit) Battery (Multiple cells connected)	
Packaging and marking	UN Specification packages to PG II Standard (See PI for types)	Strong Rigid Outer packaging (See PI for types)
requirements	<ul> <li>Protection against short circuit or arching. Inner packages must completely enclose Cell/Battery</li> </ul>	Protection against short circuit or arching. Inner packages must completely enclose Cell/Battery
	• UN Number - " <b>UN3480</b> "	• UN Number - " <b>UN3480</b> " (on handling label)
	<ul> <li>Proper shipping name - "Lithium ion batteries"</li> </ul>	• Proper shipping name - "Lithium ion batteries"
	<ul> <li>Shipper and Consignees details in full.</li> </ul>	Shipper and Consignees details in full.
	• If overpacking do not place with Class 1 (except 1.4S), 2.1, 3, 4.1 or 5.1	• If overpacking do not place with Class 1 (except 1.4S), 2.1, 3, 4.1 or 5.1
Label required	Class 9 + Cargo Aircraft Only	Class 9 + Battery handling label + CAO
Quantity allowed per	FORBIDDEN - Passenger Aircraft	FORBIDDEN - Passenger Aircraft
package	35 Kg Net per package - Cargo Aircraft only	10 Kg Net per package - Cargo Aircraft only
Shippers Declaration required	YES, see example on last page	YES, see example on last page (IB in Packing Instruction column after number or in Authorisation column)
AWB/Con-note or Transport Document statement requirements	"Dangerous Goods as per associated DGD - CAO"	"Dangerous Goods as per associated DGD - CAO"
Airline Requirements	<b>RBI</b> - (Restricted Battery ion) Full Acceptance check list required <b>Required on NOTOC</b>	<b>RBI</b> - (Restricted Battery ion) Full Acceptance check list required <b>Required on NOTOC</b>

Sodium Ion Batteries (Rechargeable)	FULLY DECLARED DG
UN3551 - PI 976	FORBIDDEN on Passenger Aircraft
	Note: UN 3551 sodium ion batteries are restricted to no more than 30% state of charge (SoC).
Applicable to:	All sizes of Sodium ion batteries transported under UN 3551 must comply fully with PI 976
Note: Includes battery packs, modules and rechargers having the purpose of	
providing a source of power to other equipment.	Cell (Single unit) Battery (Multiple cells connected)
Packaging and marking	UN Specification packages to PG II Standard (See PI for types)
requirements	Protection against short circuit or arching. Inner packages must completely enclose Cell/Battery
	• UN Number - "UN3551"
	<ul> <li>Proper shipping name - "Sodium ion batteries"</li> <li>Shipper and Consignees details in full.</li> </ul>
	<ul> <li>If overpacking do not place with Class 1 (except 1.4S), 2.1, 3, 4.1 or 5.1</li> </ul>
Label required	Class 9 + Cargo Aircraft Only
Quantity allowed per	FORBIDDEN - Passenger Aircraft
package	35 Kg Net per package - Cargo Aircraft only
Shippers Declaration required	YES, see example on last page
AWB/Con-note or	
Transport Document statement requirements	"Dangerous Goods as per associated DGD - CAO"
Airline	<b>RBI</b> - (Restricted Battery ion)
Requirements	Full Acceptance check list required Required on NOTOC

Lithium Ion Batteries packed with equipment UN3481 - PI 966 Sodium Ion Batteries packed with equipment UN3552 - PI 977	Section I FULLY DECLARED DG	Section II Not subject to all aspects of the DGR
	Note: UN 3481 spare batteries are restricted to no more than 30%	state of charge (SoC). This does not apply to UN 3552
Applicable to:	Batteries over 100 Watt hour (W/h)	Batteries no more than 100 W/h
	Cells over 20 W/h	Cells no more than 20 W/h
	Note: The maximum number of batteries allowed in the package is the appropriate number required to power the equipment plus two spare sets (set = number of cells or batteries required to power each piece of equipment).	Note: The maximum number of batteries allowed in the package is the appropriate number required to power the equipment plus two spare sets (set = number of cells or batteries
		required to power each piece of equipment).
Packaging and marking	UN Specification package must meet PG II Standard (See PI for types)	Strong Rigid Outer packaging (See PI for Types)
requirements	• Cells / Batteries may be packed in UN spec package then placed with equipment into strong rigid <b>outer</b> packaging, or	Inner packages must completely enclose Cells /Battery,
	• Cells / Batteries and equipment all packed in UN spec packaging.	Protected against short circuit or arching,
	• Cells / Batteries must be individually protected and be completely enclosed	<ul> <li>Cells / Batteries can be placed in separate inner packages to the equipment,</li> </ul>
	by <b>inner</b> packaging.	• The equipment and batteries must all be packed in the same
	<ul> <li>• UN Number - "UN 3481" or UN 3552 as applicable</li> <li>• Proper shipping name - "Lithium ion batteries packed with equipment" or</li> </ul>	<ul><li>outer package.</li><li>Individual packages may be placed in an overpack. The</li></ul>
	"Sodium ion batteries packed with equipment" as applicable.	overpack must not contain substances which might react
	Shippers and consignee details in full.	dangerously with each other.
	Overpacking allowed.	
Label required	Class 9 Lithium or sodium ion battery hazard label.	Battery handling label. (UN 3481 or UN 3552 as applicable on label)
Quantity allowed per package	Maximum net quantity (batteries within package):	Maximum net quantity (batteries within package):
	5 Kg Net - Passenger Aircraft 35 Kg Net - Cargo Aircraft Only	5 Kg Net - Passenger Aircraft 5 Kg Net - Cargo Aircraft Only
Shippers Declaration required	YES, see example on last page	NO
AWB/Con-note or	"Dangerous Goods as per associated DGD"	The words
Transport Document statement requirements	Include "CAO" after the above statement if applicable	"Lithium ion batteries in compliance with section II of PI 966" or "Sodium ion batteries in compliance with section II of PI 977"
Airline Requirements	RLI - (Restricted Lithium or Sodium ion)	ELI - (Exempt Lithium or sodium ion)
	Full Acceptance check list required. <b>Required on NOTOC</b>	No check list required. Not required on NOTOC

Lithium Ion Batteries contained in equipment UN3481 - PI 967 Sodium ion batteries contained equipment UN3552 - PI 978	Section I FULLY DECLARED DG	Section II Not subject to all aspects of the DGR
	Note: UN 3481 batteries and cells are restricted to no mo	re than 30% state of charge (SoC). This does not apply to UN 3552
Applicable to:	Batteries over 100 Watt hour (W/h)	Batteries no more than 100 W/h
	Cells over 20 W/h	Cells no more than 20 W/h
Packaging and marking requirements Label required	Strong Rigid Outer packaging (See PI for types, UN Specification packaging not required)         • Protection against short circuit or arching and accidental activation.         • UN Number - "UN 3481" or "UN 3552" as applicable         • Proper shipping name - "Lithium ion batteries contained in equipment" or "Sodium ion batteries contained in equipment" as applicable         • Shippers and consignee details in full.         • Overpacking allowed.         Class 9 Lithium or sodium battery hazard label	Strong Rigid Outer packaging (See PI for Types) • Protection against short circuit or arching and accidental activation. • Individual packages may be placed in an overpack. The overpack must not contain substances which might react dangerously with each other. Battery handling label (UN 3481 or UN 3552 as applicable on label)
		Note: The label and AWB statement, does not apply to: - Button cell batteries and circuit boards in equipment; OR - Consignments of no more than 2 packages where each package contains no more than 4 cells or 2 batteries installed in equipment.
Quantity allowed per package	Maximum net quantity (batteries within package): 5 Kg Net (batteries ) - Passenger Aircraft 35 Kg Net (batteries) - Cargo Aircraft only	Maximum net quantity (batteries within package): 5 Kg Net - Passenger Aircraft 5 Kg Net - Cargo Aircraft Only
Shippers Declaration required	YES, see example on last page.	NO
AWB/Con-note or Transport Document statement requirements	"Dangerous Goods as per associated DGD" Include " <b>CAO</b> " after the above statement if applicable.	The words "Lithium ion batteries in compliance with Section II of PI 967" or "Sodium ion batteries in compliance with section II of PI 978"
Airline Requirements	<b>RLI</b> - (Restricted Lithium or sodium ion) Full Acceptance check list required <b>Required on NOTOC</b>	ELI - (Exempt Lithium or sodium ion) No check list required Not required on NOTOC

Lithium Metal Batteries (Non- Rechargeable) UN3090 - PI 968	Section IA FULLY DECLARED DG FORBIDDEN on Passenger Aircraft	Section IB FULLY DECLARED DG FORBIDDEN on Passenger Aircraft	
Applicable to:	Batteries over 2 grams (g) aggregate of Lithium metal Cells over 1 g of Lithium metal.	Batteries no more than 2 g aggregate of lithium metal. Cells no more than 1 g of lithium metal.	
Packaging and marking requirements	<ul> <li>UN Specification packages to PG II Standard (See PI for types)</li> <li>Protection against short circuit or arching. Inner packages must completely enclose Cell/Battery</li> <li>UN Number - "UN 3090"</li> <li>Proper shipping name - "Lithium metal batteries"</li> <li>Shipper and Consignees details in full.</li> <li>If overpacking do not place with Class 1 (except 1.4S), 2.1, 3, 4.1 or 5.1</li> </ul>	<ul> <li>Strong Rigid Outer packaging (See PI for types)</li> <li>Protection against short circuit or arching. Inner packages must completely enclose Cell/Battery</li> <li>UN Number - "UN 3090" (on handling label)</li> <li>Proper shipping name - "Lithium metal batteries"</li> <li>Shipper and Consignees details in full.</li> <li>If overpacking do not place with Class 1 (except 1.4S), 2.1, 3, 4.1 or 5.1</li> </ul>	
Label required	Class 9 + Cargo Aircraft Only	Class 9 + Battery handling label + CAO	
Quantity allowed per package	<b>FORBIDDEN</b> - Passenger Aircraft 35 Kg Net per package - Cargo Aircraft only	<b>FORBIDDEN</b> - Passenger Aircraft 2.5 Kg Net per package - Cargo Aircraft only	
Shippers Declaration required	YES, see example on last page	YES, see example on last page (IB in Packing Instruction column after number or in Authorisation Column	
AWB/Con-note or Transport Document statement requirements	"Dangerous Goods as per associated DGD - CAO"	"Dangerous Goods as per associated DGD - CAO"	
Airline Requirements	<b>RBM</b> - (Restricted Battery Metal) Full Acceptance check list required <b>Required on NOTOC</b>	<b>RBM</b> - (Restricted Battery Metal) Full Acceptance check list required <b>Required on NOTOC</b>	

Lithium Metal Batteries packed with equipment UN3091 - PI 969	Section I FULLY DECLARED DG	Section II Not subject to all aspects of the DGR	
Applicable to:	Batteries over 2 grams (g) aggregate of Lithium metal Cells over 1 g of Lithium metal Note: The maximum number of batteries allowed in the package is the appropriate number required to power the equipment plus two spare sets (set = number of cells or batteries required to power each piece of equipment).	Batteries no more than 2 g aggregate of Lithium metal Cells no more than 1 g of Lithium metal Note: The maximum number of batteries allowed in the package is the appropriate number required to power the equipment plus two spare sets (set = number of cells or batteries required to power each piece of equipment).	
Packaging and marking requirements	<ul> <li>UN Specification package must meet PG II Standard (See PI for types)</li> <li>Cells / Batteries may be packed in UN spec package then placed with equipment into strong rigid outer packaging, or</li> <li>Cells / Batteries and equipment all packed in UN spec packaging.</li> <li>Cells / Batteries must be individually protected and be completely enclosed by inner packaging.</li> <li>UN Number - "UN 3091"</li> <li>Proper shipping name - "Lithium metal batteries packed with equipment"</li> <li>Shippers and consignee details in full.</li> <li>Overpacking allowed.</li> </ul>	<ul> <li>Strong Rigid Outer packaging (See PI for Types)</li> <li>Inner packages must completely enclose Cells /Battery,</li> <li>Protected against short circuit or arching,</li> <li>Cells / Batteries can be placed in separate inner packages to the equipment,</li> <li>The equipment and batteries must all be packed in the same outer package.</li> <li>Individual packages may be placed in an overpack. The overpack must not contain substances which might react dangerously with each other.</li> </ul>	
Label required	Class 9 Lithium or sodium battery hazard label	Battery handling label (UN3091 on label)	
Quantity allowed per package	Maximum net quantity (batteries within package): 5 Kg Net -Passenger Aircraft 35 Kg Net - Cargo Aircraft only	Maximum net quantity (batteries within package): 5 Kg Net -Passenger Aircraft 5 Kg Net -Cargo Aircraft only	
Shippers Declaration required	YES, see example on last page	NO	
AWB/Con-note or Transport Document statement requirements	"Dangerous Goods as per associated DGD" Include " <b>CAO</b> " after the above statement if applicable	The words "Lithium metal batteries in compliance with Section II of PI 969"	
Airline Requirements	<b>RLM</b> - (Restricted Lithium metal) Full Acceptance check list required, <b>NOTOC required</b>	ELM - (Exempt Lithium metal) No check list required, Not Required on NOTOC	Inside a lithium

Lithium Metal Batteries contained in equipment UN3091 - PI 970	Section I FULLY DECLARED DG	Section II Not subject to all aspects of the DGR	
Applicable to:	Batteries over 2 grams (g) aggregate of Lithium metal Cells over 1 g of Lithium metal	Batteries no more than 2 grams (g) aggregate of Lithium metal Cells no more than 1 g of Lithium metal	
Packaging and marking requirements	<ul> <li>Strong Outer packaging (See PI for Types, UN Specification packaging not required)</li> <li>Protection against short circuit or arching</li> <li>UN Number - "UN 3091"</li> <li>Proper shipping name - "Lithium metal batteries contained in equipment"</li> <li>Overpacking allowed.</li> </ul>	<ul> <li>Strong Rigid Outer packaging. (See PI for Types)</li> <li>Protection against short circuit or arching and accidental activation.</li> <li>Individual packages may be placed in an overpack. The overpack must not contain substances which might react dangerously with each other.</li> </ul>	
Label required	Class 9	Battery handling label (UN 3091 on label) Note: The label and AWB statement, does not apply to: - Button cell batteries and circuit boards in equipment; OR - Consignments of no more than 2 packages where each package contains no more than 4 cells or 2 batteries installed in equipment.	
Quantity allowed per package	Maximum net quantity (batteries within package): 5 Kg Net - Passenger Aircraft 35 Kg Net - Cargo Aircraft only	Maximum net quantity (batteries within package): 5 Kg Net - Passenger Aircraft 5 Kg Net - Cargo Aircraft only	
Shippers Declaration required	YES, see example last page	NO	
AWB/Con-note or Transport Document statement requirements	"Dangerous Goods as per associated DGD" Include " <b>CAO</b> " after the above statement if applicable	The words "Lithium metal batteries in compliance with Section II of PI 970"	UF 74/WFU- LITHIUM BATTERY
Airline Requirements	<b>RLM</b> - (Restricted Lithium metal) Full Acceptance check list required <b>Required on NOTOC</b>	ELM - (Exempt Lithium metal) No check list required Not Required on NOTOC	GR1230 3V

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## Additional Information

	Dangerous Goods Identificatio	'n				
UN or ID No.	Proper Shipping Name	Class or Division (Subsidiary Risk)	Pack- ing Group	Quantity and Type of Packing	Pack Inst.	Author- ization
UN3481	Lithium Ion batteries packed with equipment	9		2 Fibreboard boxes x 5K	g 966	-
UN3481	Lithium lon batteries contained in equipment	9		2 Fibreboard boxes x 5k	(g 967	
UN3091	Lithium metal batteries packed with equipment	9		2 Fibreboard boxes x 5ł	(g 969	
UN3091	Lithium metal batteries contained in equipment	9		1 Fibreboard boxes x 5k	ig 970	
UN3552	Sodium ion batteries packed with equipment	9		1 Fibreboard box 5Kg	977	
UN3552	Sodium ion batteries contained in	9		2 Fibreboard boxes 5kg	978	
	equipment		:			1
PASSEXM ANX KAR AIR RAR	SER CARGO AIRCRAFT TXX ONLY	*		Shipment Type (Delete non-a	pplicable	e)
ANXX KAR AREERAS	XXX CARGO	•		Shipment Type (Delete non-a	pplicable	
ANX XXA ARXXXX Airport of	SER CARGO AIRCRAFT TXX ONLY	•			6/6.	
ANX XXA ARXXXX Airport of	CARGO AIRCRAFT ONLY	n			6/6.	
ANX XXA ARXXXX Airport of	AND QUANTITY OF DANGEROUS GOODS	n Class or Division (Subsidiary Risk)			6/6.	
ANDXXXXA Airport of NATURE	CARGO         AIRCRAFT         ONLY         Destination    AND QUANTITY OF DANGEROUS GOODS          Dangerous Goods Identificatio	Class or Division (Subsidiary	NO Pack- ing	Quantity and Type of	Pack	牧X Author-
AND KAR AIR CRAS Airport of NATURE	CARGO AIRCRAFT ONLY Destination AND QUANTITY OF DANGEROUS GOODS Dangerous Goods Identificatio Proper Shipping Name	Class or Division (Subsidiary Risk)	NO Pack- ing	Quantity and Type of Packing	Pack Inst. 965	牧X Author-
ANDX KAR AIR CRAS Airport of NATURE	CARGO AIRCRAFT ONLY         Destination         AND QUANTITY OF DANGEROUS GOODS         Dangerous Goods Identificatio         Proper Shipping Name         Lithium Ion batteries	Class or Division (Subsidiary Risk) 9	NO Pack- ing	Quantity and Type of Packing 1 Fibreboard box x 5Kg	Pack Inst. 965 968	牧X Author-
ANDX KAR AFR CRAE Airport of NATURE	CARGO AIRCRAFT ONLY         Destination         AND QUANTITY OF DANGEROUS GOODS         Dangerous Goods Identificatio         Proper Shipping Name         Lithium Ion batteries         Lithium metal batteries	Class or Division (Subsidiary Risk) 9	NO Pack- ing	Quantity and Type of Packing 1 Fibreboard box x 5Kg 1 Fibreboard box x 35Kg	Pack Inst. 965 968 976	牧X Author-

## To calculate watt hour for Lithium ion and Sodium ion batteries

Ah (Amperes per hour) x V (Volt) = Wh

Examples of the DGD information required for Li Batteries in compliance with Section I or IA of the Packing Instructions shown and Sodium batteries in compliance with section I of the packing instructions shown.

Maximum net per package for Passenger Aircraft = 5 Kg Maximum net per package for Cargo Aircraft Only = 35 Kg

To assist in aligning the labelling requirements of all modes of transport, the Hazard and Handling label used must be as shown below:

Hazard label:



**Requires: UN number** Optional



As at 01 January 2023 the handling label no longer requires a telephone number to be included. The label with a telephone number can be used until 31 December 2026.

Lithium and Sodium batteries packed without equipment must be separated from classes 1 (other than 1.4S), 2.1, 3, 4.1 and 5.1.

Example of the DGD information required for Li Ion Batteries and Li Metal Batteries in compliance with Section IA of the Packing Instructions 965 and 968 respectively and Sodium ion batteries in compliance with Packing instruction 976 Maximum net per package for Cargo Aircraft = 35 Kg **FORBIDDEN on PAX aircraft** 

Example of the DGD information required for Li Ion Batteries and Li Metal Batteries in compliance with Section IB of the Packing Instructions 965 and 968 respectively.

Li Ion Batteries: Maximum net per package for Cargo Aircraft = 10kg

Li Metal Batteries: Maximum net per package for Cargo Aircraft = 2.5kg

FORBIDDEN on PAX aircraft.